



#5 D.J. 10-27-02
IDS/Statement
ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

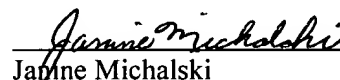
Applicant: Kevin J. Dowling and George G. Mueller,
Serial No: 09/917,294
Confirm No.: 8108
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner: Not Yet Assigned
Art Unit: 2632

RECEIVED
OCT 18 2002
Technology Center 2600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on October 10, 2002.


Jamine Michalski

Commissioner for Patents
Washington, D.C. 20231

Sir:

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.



2632

ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Confirm No.: 8108
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner: Not Yet Assigned
Art Unit: 2632

RECEIVED

OCT 18 2002

Technology Center 2600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on October 10, 2002.

Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

- [X] STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98
PTO FORM 1449
- [X] RETURN POST CARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch are respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Joseph Teja, Jr.
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70075
Dated: October 10, 2002

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By: 

Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70075
Dated: October 10, 2002

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

OCT 1 5 2002

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

GROUP ART UNIT: 2632

EXAMINER: Not Yet Assigned

Sheet 1 of 7

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		* US 2001/0033488	A1	Chliwnyj, et al.	10/25/2001
		* Re. 36,030		Nadeau	01/05/1999
		* 2,909,097		A.E. Alden, et al.	10/20/1959
		* 3,318,185		Kott	05/09/1967
		* 3,561,719		Grindle	02/1971
		* 3,586,936		McLeroy	06/22/1971
		* 3,601,621		Ritchie	08/24/1971
		* 3,643,088		Osteen, et al.	02/1972
		* 3,746,918		Drucker, et al.	01/17/1973
		* 3,818,216		Larraburu	06/18/1974
		* 3,832,503		Crane	08/27/1974
		* 3,858,086		Anderson, et al.	12/31/1974
		* 3,909,670		Wakamatsu, et al.	09/30/1975
		* 3,924,120		Cox, III	12/02/1975
		* 3,958,885		Stockinger, et al.	05/25/1976
		* 3,974,637		Bergey, et al.	08/17/1976
		* 4,001,571		Martin	01/04/1977
		* 4,054,814		Fegley, et al.	10/18/1977
		* 4,082,395		Donato, et al.	04/04/1978
		* 4,096,349		Donato	06/20/1978
		* 4,241,295		Williams, Jr.	12/23/1980
		* 4,272,689		Crosby, et al.	06/09/1981
		* 4,273,999		Pierpoint	06/16/1981
		* 4,298,869		Okuno	11/03/1981
		* 4,329,625		Nishizawa, et al.	05/11/1982
		* 4,367,464		Kurahashi, et al.	12/04/1983
		* 4,388,567		Yamazaki, et al.	06/14/1983
		* 4,388,589		Molldrem, Jr.	06/14/1983
		* 4,392,187		Bornhorst	07/05/1983
		* 4,420,711		Takahashi, et al.	12/13/1983
		* 4,500,796		Quin	02/19/1985
		* 4,622,881		Rand	11/18/1986
		* 4,625,152		Nakai	11/25/1986
		* 4,635,052		Aoike, et al.	01/06/1987
		* 4,647,217		Havel	03/03/1987

RECEIVED

OCT 1 8 2002

Technology Center 2600

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

GROUP ART UNIT: 2632

EXAMINER: Not Yet Assigned

Sheet 2 of 7

OCT 1 5 2002

PATENT & TRADEMARK OFFICE

	* 4,656,398	Michael, et al.	04/07/1987
	* 4,668,895	Schneider	05/26/1987
	* 4,682,079	Sanders, et al.	07/21/1987
	* 4,686,425	Havel	08/11/1987
	* 4,687,340	Havel	08/18/1987
	* 4,688,154	Nilssen	08/18/1987
	* 4,688,869	Kelly	08/25/1987
	* 4,695,769	Schweickardt	09/22/1987
	* 4,701,669	Head, et al.	10/20/1987
	* 4,705,406	Havel	11/10/1987
	* 4,707,141	Havel	11/17/1987
	* 4,727,289	Uchida	02/23/1988
	* 4,740,882	Miller	04/26/1988
	* 4,753,148	Johnson	06/28/1988
	* 4,771,274	Havel	09/13/1988
	* 4,780,621	Bartleucci, et al.	10/25/1988
	* 4,818,072	Mohebban	04/04/1989
	* 4,837,565	White	06/06/1989
	* 4,843,627	Stebbins	06/27/1989
	* 4,845,481	Havel	07/04/1989
	* 4,845,745	Havel	07/04/1989
	* 4,863,223	Weissenbach, et al.	09/05/1989
	* 4,874,320	Freed, et al.	10/17/1989
	* 4,887,074	Simon, et al.	12/12/1989
	* 4,922,154	Cacoub	05/01/1990
	* 4,934,852	Havel	06/19/1990
	* 4,962,687	Belliveau, et al.	10/16/1990
	* 4,965,561	Havel	10/23/1990
	* 4,973,835	Kurosu, et al.	11/27/1990
	* 4,979,081	Leach, et al.	12/18/1990
	* 4,992,704	Stinson	02/12/1991
	* 5,003,227	Nilssen	03/26/1991
	* 5,008,595	Kazar	04/16/1991
	* 5,010,459	Taylor, et al.	04/23/1991
	* 5,027,262	Freed	06/25/1991
	* 5,034,807	Von Kohorn	07/23/1991
	* 5,072,216	Grange	12/10/1991
	* 5,078,039	Tulk, et al.	01/07/1992

RECEIVED

OCT 1 8 2002

Technology Center 2600

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

GROUP ART UNIT: 2632

EXAMINER: Not Yet Assigned

Sheet 3 of 7

	* 5,083,063	Brooks	01/21/1992
	* 5,126,634	Johnson	06/30/1992
	* 5,128,595	Hara	07/07/1992
	* 5,134,387	Smith, et al.	07/28/1992
	* 5,142,199	Elwell	08/25/1992
	* 5,154,641	McLaughlin	10/13/1992
	* 5,164,715	Kashiwabara, et al.	11/17/1992
	* 5,184,114	Brown	02/02/1993
	* 5,194,854	Havel	03/16/1993
	* 5,225,765	Callahan, et al.	07/06/1993
	* 5,226,723	Chen	07/13/1993
	* 5,254,910	Yang	10/19/1993
	* 5,256,948	Boldin, et al.	10/26/1993
	* 5,282,121	Bornhorst, et al.	01/25/1994
	* 5,294,865	Haraden	03/15/1994
	* 5,350,977	Hamamoto, et al.	09/27/1994
	* 5,357,170	Luchaco, et al.	10/18/1994
	* 5,371,618	Tai, et al.	12/06/1994
	* 5,374,876	Horibata, et al.	12/20/1994
	* 5,388,357	Malita	02/14/1995
	* 5,402,702	Hata	04/04/1995
	* 5,404,282	Klinke, et al.	04/04/1995
	* 5,406,176	Sugden	04/11/1995
	* 5,410,328	Yoksza, et al.	04/25/1995
	* 5,412,284	Moore, et al.	05/02/1995
	* 5,412,552	Fernandes	05/02/1995
	* 5,420,482	Phares	05/30/1995
	* 5,421,059	Leffers, Jr.	06/06/1995
	* 5,432,408	Matsuda, et al.	07/11/1995
	* 5,436,535	Yang	07/25/1995
	* 5,461,188	Drago, et al.	10/24/1995
	* 5,463,280	Johnson	10/31/1995
	* 5,465,144	Parker, et al.	11/07/1995
	* 5,489,827	Xia	02/06/1996
	* 5,491,402	Small	02/13/1996
	* 5,504,395	Johnson, et al.	04/02/1996
	* 5,545,950	Cho	08/13/1996
	* 5,561,346	Byrne	10/01/1996

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

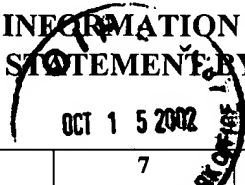
GROUP ART UNIT: 2632

EXAMINER: Not Yet Assigned

Sheet 4 of 7

	* 5,575,459	Anderson	11/19/1996
	* 5,575,554	Guritz	11/19/1996
	* 5,592,051	Korkala	01/07/1997
	* 5,640,061	Bornhorst, et al.	06/17/1997
	* 5,701,058	Roth	12/23/1997
	* 5,721,471	Begemann, et al.	02/24/1998
	* 5,734,590	Tebbe	03/31/1998
	* 5,751,118	Mortimer	05/12/1998
	* 5,752,766	Bailey, et al.	05/19/1998
	* 5,803,579	Turnbull, et al.	09/08/1998
	* 5,808,689	Small	09/15/1998
	* 5,821,695	Vilanilam, et al.	10/13/1998
	* 5,848,837	Gustafson	12/15/1998
	* 5,850,126	Kanbar	12/15/1998
	* 5,851,063	Doughty, et al.	12/22/1998
	* 5,852,658	Knight, et al.	12/22/1998
	* 5,859,508	Ge, et al.	01/12/1999
	* 5,896,010	Mikolajczak, et al.	04/20/1999
	* 5,912,653	Fitch	06/15/1999
	* 5,924,784	Chliwnyj, et al.	07/20/1999
	* 5,946,209	Eckel, et al.	08/31/1999
	* 5,952,680	Strite	09/14/1999
	* 5,959,547	Tubel, et al.	09/28/1999
	* 5,963,185	Havel	10/05/1999
	* 5,974,553	Gandar	10/26/1999
	* 6,008,783	Kitagawa, et al.	12/28/1999
	* 6,016,038	Mueller, et al.	01/18/2000
	* 6,018,237	Havel	01/25/2000
	* 6,025,550	Kato	02/15/2000
	* 6,031,343	Recknagel, et al.	02/29/2000
	* 6,068,383	Robertson, et al.	05/30/2000
	* 6,072,280	Allen	06/06/2000
	* 6,095,661	Lebens, et al.	08/01/2000
	* 6,132,072	Turnbull, et al.	10/17/2000
	* 6,135,604	Lin	10/24/2000
	* 6,150,774	Mueller, et al.	11/21/2000
	* 6,166,496	Lys, et al.	12/26/2000
	* 6,183,086	Neubert	02/06/2001

RECEIVED
OCT 18 2002
Technology Center 2600

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/917,294	ATTY. DOCKET NO.: C01104/70075
INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 1 5 2002 		FILING DATE: July 26, 2001	
		APPLICANT: Kevin J. Dowling, et al.	
		GROUP ART UNIT: 2632	EXAMINER: Not Yet Assigned
Sheet 7 of 7			

		* Newnes's Dictionary of Electronics, Fourth Edition, S.W. Amos, et al., Preface to First Edition, pp. 278-279		
		*"http://www.luminus.cx/projects/chaser", (November 13, 2000), pp. 1-16		

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;
Serial No. 09/425,770, filed October 22, 1999;
Serial No. 08/920,156, filed August 26, 1997;
Serial No. 09/213,540, filed December 17, 1998;
Serial No. 09/213,581, filed December 17, 1998;
Serial No. 09/213,548, filed December 17, 1998;
Serial No. 09/815,418, filed March 22, 2001;
Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/215,624, filed December 17, 1998;
Serial No. 09/333,739, filed June 15, 1999;
Serial No. 09/742,017, filed December 20, 2000;
Serial No. 09/213,659, filed December 17, 1998;
Serial No. 09/213,189, filed December 17, 1998;
Serial No. 09/626,905, filed July 27, 2000;
Serial No. 09/213,537, filed December 17, 1998;

which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

RECEIVED
OCT 1 8 2002
Technology Center 2600